

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF NORTH CAROLINA
CHARLOTTE DIVISION**

FRANK HARMON BLACK; and
SOUTHEAST INVESTMENTS, N.C., INC.,

Plaintiffs,

v.

FINANCIAL INDUSTRY REGULATORY
AUTHORITY; and
SECURITIES AND EXCHANGE
COMMISSION,

Defendants.

No. 3:23-cv-709-MEO-DCK

NOTICE OF APPEAL

Plaintiffs Frank Harmon Black and Southeast Investments, N.C., Inc. appeal to the United States Court of Appeals for the Fourth Circuit from the final judgment entered on March 24, 2026.

DATED: March 25, 2026.

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Respectfully submitted,

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CERTIFICATE OF SERVICE

I hereby certify that on March 25, 2026, I electronically filed the foregoing document with the Clerk of the Court for the United States District Court for the Western District of North Carolina by using the CM/ECF system. I certify further that the foregoing document was served on all parties or their counsel of record through the appellate CM/ECF system.

/s/ Aditya Dynar
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