

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK**

N.C. ex rel. YIATIN CHU; CHINESE
AMERICAN CITIZENS ALLIANCE OF
GREATER NEW YORK; INCLUSIVE
EDUCATION ADVOCACY GROUP; and
HIGHER WITH OUR PARENT
ENGAGEMENT,

Plaintiffs,

v.

BETTY A. ROSA, in her official capacity as
Commissioner of Education for the State of
New York,

Defendant.

No. 1:24-cv-00075 (DNH-CFH)

FIRST AMENDED COMPLAINT

INTRODUCTION

1. For thirty-nine years, New York State has funded a racially-discriminatory science enrichment program for middle and high school students. If “[e]liminating racial discrimination means eliminating all of it,” then the time has come for New York to open this opportunity to *all* students, regardless of race or ethnicity. *Students for Fair Admissions v. President and Fellows of Harvard College*, 600 U.S. 181, 206 (2023). Thirty-nine years of discrimination is enough.

2. Through the Science and Technology Entry Program (STEP), N.Y. Educ. Law § 6454, New York State funds summer and academic-year programs for 7-12 graders at higher education institutions around the state. These programs give students interested in science, technology, and related fields a head start to achieve their career goals through tutoring, college counseling, test prep, and hands-on lab and research opportunities—all free of charge to the students.

3. However, when it comes to which students are eligible to apply, STEP's regulations discriminate based on race and ethnicity. Black, Hispanic, Native American, and Alaskan Native student applicants are eligible to apply regardless of their family's income level. All other student applicants, including Asian and white students, must first prove that their families are economically disadvantaged. In other words, the Hispanic child of a multi-millionaire is eligible to apply to STEP, while an Asian American child whose family earns just above the state's low-income threshold is not, solely because of her race or ethnicity.

4. Today, a New York public school student, represented by her parent, and three Asian-American organizations whose parent-members are devoted to fighting for equal opportunity in education on behalf of their school-age children bring this civil rights action to vindicate these children's equal protection rights under the Fourteenth Amendment to the United States Constitution.

JURISDICTION AND VENUE

5. This action arises under the Fourteenth Amendment to the United States Constitution, through 42 U.S.C. § 1983. The Court has jurisdiction over these federal claims under 28 U.S.C. §§ 1331 (federal question) and 1343(a) (redress for deprivation of civil rights). Declaratory relief is authorized by the Declaratory Judgment Act, 28 U.S.C. §§ 2201-2202.

6. Venue is proper in this District under 28 U.S.C. § 1391(b)(1), as the Defendant resides within this District.

PARTIES

7. Plaintiff N.C. is a 7th grader in good academic standing with an overall GPA above 80 and a GPA above 80 in math, science, and English. N.C. is Asian American and a resident of New York State. N.C. is able and ready to apply for admission to the summer 2024 STEP program

at New York University but cannot because her race makes her ineligible. Because N.C. is a minor, she is represented by her mother, Yiatin Chu. Ms. Chu is an education advocate and a co-founder and leader of PLACE NYC and the Asian Wave Alliance, as well as a member of the Chinese American Citizens Alliance of Greater New York.

8. Plaintiff Chinese American Citizens Alliance of Greater New York (CACAGNY) is a New York City nonprofit organization organized under Section 501(c)(8) of the Internal Revenue Code. Its mission is to empower Chinese Americans by advocacy for Chinese-American interests based on the principles of fairness and equal opportunity, and guided by the ideals of patriotism, civility, dedication to family and culture, and the highest ethical and moral standards. CACAGNY's membership includes parents of New York City schoolchildren, and the organization represents the interests of both these parents and their children. CACAGNY has a member whose seventh-grade daughter meets the residency and academic requirements for the summer 2024 STEP program at New York University and is able and ready to apply, but cannot because her race makes her ineligible. *See* Chu Decl., Exhibit A. CACAGNY has another member whose tenth-grade son meets the residency and academic requirements for the summer and fall 2024 STEP programs at New York University and is able and ready to apply, but cannot because his race makes him ineligible. *See* Kwok Decl., Exhibit B.

9. Plaintiff Inclusive Education Advocacy Group (IEAG) is a New York City grassroots parent organization whose mission is to fight discriminatory eligibility and admissions practices that restrict applicants based on race or ethnicity. IEAG's members are the parents of New York City schoolchildren, and the organization represents the interests of both these parents and their children. IEAG believes that state-funded programs like STEP should be open to New York students of all races and ethnicities equally. IEAG has a member whose eleventh-grade child

attempted to apply for the STEP program at CUNY Baruch College for the summer 2023 STEP program but could not because her race made her ineligible. Instead, this member paid thousands of dollars to enroll her child in an alternative science summer program. *See* Redacted Decl. Exhibit B. This IEAG member's eighth-grade child meets the residency and academic requirements for the summer 2024 STEP programs at New York University and CUNY Baruch College and is able and ready to apply, but cannot because his race makes him ineligible. *See* Redacted Decl., Exhibit C.

10. Plaintiff Higher with Our Parent Engagement (HOPE) is a New York City nonprofit organization with a pending application for Internal Revenue Code Section 501(c)(3) status. Its mission is to help Chinese-American parents in the New York City area, particularly those who are first-generation immigrants, understand the educational opportunities available to their children and offer guidance in navigating the application process for those opportunities. HOPE also supports members whose children experience bullying and provides translation services to empower parents and grandparents to engage with their children's schools. HOPE has a member whose sixth-grade son meets the residency and academic requirements for the fall 2024 STEP program at New York University and is ready and willing to apply, but cannot because his race makes him ineligible. *See* Chen Decl., Exhibit D.

11. Defendant Betty A. Rosa is the Commissioner of Education and President of the University of the State of New York. Commissioner Rosa leads the New York State Education Department, which administers STEP through the Office of Postsecondary Access, Support, and Success. By law, the Commissioner of Education establishes the criteria for awarding STEP grants to higher education institutions, selects grant recipients, approves amendments to grant recipients' plans of instruction, approves additional criteria for measuring whether a student applicant exhibits

“the potential for college level study if provided with special services,” and is authorized to require periodic reporting by grant recipients on topics like compliance, curricula, and funding. N.Y. Educ. Law § 6454(3)(b), (5)(a)-(b), (6), and (8). Commissioner Rosa is sued in her official capacity.

FACTUAL ALLEGATIONS

The Science and Technology Entry Program (STEP)

12. STEP, first enacted in 1985, is intended to “assist eligible students in acquiring the skills, attitudes and abilities necessary to pursue professional or pre-professional study in post-secondary degree programs in scientific, technical and health-related fields.” N.Y. Educ. Law § 6454(2).

13. The statute defines “eligible students” as “secondary school students who are either economically disadvantaged or minorities historically underrepresented” in the target fields. N.Y. Educ. Law § 6454(1)(b). Specifically, under regulations promulgated pursuant to STEP, applicants who are black, Hispanic, American Indian, or Alaskan Native are eligible to apply to a STEP program regardless of economic status. 8 NYCRR § 145-6.5(a). Applicants who do not meet these race and ethnicity requirements must demonstrate that they are “economically disadvantaged.” N.Y. Educ. Law § 6454(1)(b).

14. Neither the STEP statute nor its implementing regulations define “economically disadvantaged.” On its website, the New York State Education Department publishes income eligibility criteria for STEP. These criteria are defined in the implementing regulations for STEP’s collegiate counterpart, N.Y. Educ. Law § 6455, as “a member of a household where the total annual income of such household is equal to or less than 185 percent of the amount under the annual United States Department of Health and Human Services poverty guidelines for the applicant’s family size for the applicable year.” 8 NYCRR §§ 27-1.1(b)(1); 27-2.6.

15. STEP's race-based eligibility requirements were not enacted to remedy specific, identified instances of past discrimination by the institutions receiving STEP funding against black, Hispanic, Native American, or Alaskan Native student applicants that violated the Constitution or a statute. Instead, its purpose is to "promote increased access to careers in medicine and other professional fields for minority and disadvantaged students and to increase the supply of physicians in medically underserved areas." Mem. in Support of Legislation, L. 1985, ch. 31.

16. STEP programs are funded through grants awarded by the Commissioner of Education. N.Y. Educ. Law § 6454(5)(a). Fifty-six colleges, universities, and medical schools around New York State receive funding through STEP, admitting students in grades 7-12 for programs that include instruction in core subjects, preparation for the New York State Regents Exam, supervised practical training, supervised research training, college admissions counseling, SAT/ACT preparation, and career awareness activities like field trips and college visits.

17. In greater New York City, where N.C. and CACAGNY, IEAG, and HOPE's members' children reside, there are 33 higher education institutions that operate STEP programs for local students. Each institution requires students to meet the STEP race or ethnicity and income eligibility criteria to qualify for the programs.

18. Applications for STEP summer sessions at several participating institutions in the New York City area opened in February 2024. STEP's race-based eligibility requirements will be enforced through racial-screening questions in these applications. For example, the STEP application for CUNY Baruch College's middle school program immediately asks about the student's race¹:

¹ CUNY Baruch College, *Middle School Program Summer 2024 application*, https://baruch.az1.qualtrics.com/jfe/form/SV_ePCR01JBuhZ0kKO (last visited Apr. 3, 2024).

NEW MIDDLE SCHOOL STUDENT APPLICATION

Please make sure you qualify based on the following:

- Enrolled in grade 7 or 8 in Fall 2024
- Good academic standing (minimum grade point average of 80 in math, science, and overall)
- New York State resident for a minimum of 12 months before applying to the program
- Availability to attend all sessions
- A member of a historically underrepresented group (as defined by the New York State Education Department) in the scientific, technical, health-related, and licensed professions. This includes African-American, Hispanic/Latino, Native American Indian, and Alaskan Native. If you identify as a minor of an underrepresented group per NYSED, no further documentation is needed. All others must meet the state-determined criteria of economically disadvantaged as determined by the student's household income being less than or equal to the income levels indicated below.

New York State Opportunity Programs Income Eligibility Criteria 2024-2025

Members in household (including head of household)	Total Annual Income (2023)
1	\$26,973
2	\$36,482
3	\$45,991
4	\$55,500
5	\$65,009
6	\$74,518
7	\$84,027
8	\$93,536

-If applying under economic criteria, please provide 2023's 1040 income tax form. Your application will be incomplete without submitting this documentation.

STOP! If you don't match the ethnic or economic criteria listed above, you do not qualify for this program.

To complete & submit your application, be sure to include the following:

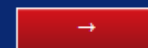
1. March 2024 or most recent report card
2. Personal essay
3. Email for STEM teacher(s) to send recommendation link
4. Income verification IRS Form 1040 for 2023 *(for income eligibility-based applicants)*

Ethnicity (check all that apply)

- Alaskan Native
- Asian
- Black/African American
- Hispanic/Latino
- Native American
- White

I identify as Black/African, Hispanic/Latinx, Native American or Alaskan Native

- Yes
- No



19. If the applicant chooses Asian or white, the application asks again for confirmation of his or her race:

I identify as Asian or White

Yes

No

→

20. When the applicant confirms that he or she is not black, Hispanic, Native American, or Alaskan Native, the application requires proof of household income through the upload of a federal tax return form:

Income Verification
You are applying under economic disadvantage status. To provide proof of your income eligibility, upload your household's 2023 IRS Form 1040 here.

- A student is considered economically disadvantaged if the student's household income needs to be less than or equal to the income levels indicated below.

New York State Opportunity Programs Income Eligibility Criteria 2024-2025

Members in household (including head of household)	Total Annual Income (2023)
1	\$26,973
2	\$36,482
3	\$45,991
4	\$55,500
5	\$65,009
6	\$74,518
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8	\$93,536

STOP! If you don't match the ethnic or economic criteria listed above, you do not qualify for this program.


21. Applicants to the STEP program operated by New York University's medical school are made aware of the racial and ethnic criteria via a hyperlink to the New York State Education Department's STEP website²:

Science & Technology Entry Program Admissions

Applicants for the Science and Technology Entry Program (STEP) at NYU Grossman School of Medicine should have an expressed interest in future study in science, technology, engineering, math (STEM) or the licensed health professions, and be ready to engage in interdisciplinary learning and holistic personal development.

Applicants must fulfill the following requirements:

- be a resident of New York State
- be enrolled in 7th through 12th grade
- are interested in exploring career options in the scientific, technological, and health professions

Additionally, the New York State Education Department specifies all eligibility requirements for the Science and Technology Entry Program. [Learn more by selecting the "eligibility" tab](#) .

22. Applicants to Fordham University's STEP programs are informed of the racial and ethnic criteria before beginning their applications³:

To be an eligible applicant for the STEP program, you must:

- Be a resident of New York State
- Be available for all dates of the program
- Be a middle or high school student: 7th-12th grade
- Have an 85 average in Math and Science courses and overall good academic standing
- Identify as African American/Black, Latinx/Hispanic, Native American Indian or Alaskan Native, OR meet the requirements for economically disadvantaged status

² New York University Langone Health, *Science & Technology Entry Program Admissions*, <https://med.nyu.edu/our-community/why-nyu-grossman-school-medicine/diversity-inclusion/science-technology-entry-program/admissions> (last visited Apr. 3, 2024).

³ Fordham University, *Fordham University STEP Spring 2024 Program Application*, https://docs.google.com/forms/d/e/1FAIpQLScw8IYvkC8UBL9_z8Cxem_fDBW8ypKLe883Ue_pQ49zt7JZ-ag/formResponse (last visited Apr. 3, 2024).

STEP's Impact on Plaintiffs

23. Asian American student applicants, like N.C. and the children of the organizational Plaintiffs' members, do not meet STEP's definition of a historically underrepresented minority. 8 NYCRR § 145-6.5(a).

24. Student applicants who are black, Hispanic, Native American, or Alaskan Native are automatically eligible to apply for a STEP opportunity, even if their family's socioeconomic status is much higher than an Asian American student applicant's.

25. Because of their race and ethnicity, N.C. and CACAGNY, IEAG, and HOPE members' children are excluded from consideration for STEP unless they can demonstrate economic disadvantage—a requirement that applicants who are black, Hispanic, Native American, or Alaskan Native are never required to meet.

26. Plaintiffs have no plain, speedy, and adequate remedy at law for this violation of their right to equal protection. Damages are indeterminate or unascertainable and would not fully redress Plaintiffs' harm.

CAUSE OF ACTION

STEP's Race-Based Eligibility Requirements Violate the Equal Protection Clause of the Fourteenth Amendment, through 42 U.S.C. § 1983

27. Plaintiffs allege and incorporate by reference the allegations in the preceding paragraphs of this Complaint.

28. STEP requires student applicants to be treated differently based on their race or ethnicity.

29. Because STEP mandates that student applicants be treated differently based on their race or ethnicity, it must satisfy strict scrutiny.

30. Defendant does not have a compelling interest that justifies STEP's racial and ethnic classifications.

31. STEP's racial and ethnic classifications are not narrowly tailored to meet any such compelling interest.

32. Because STEP uses racial and ethnic classifications to determine student applicant eligibility, furthers no compelling interest, and is not narrowly tailored, it violates the Equal Protection Clause.

33. Plaintiffs have in the past, and, unless enjoined by this Court, will continue to be harmed in the future by STEP's racial classifications.

34. Accordingly, Plaintiffs are entitled to injunctive and declaratory relief.

PRAYER FOR RELIEF

Wherefore, Plaintiffs pray for relief as follows:

1. A judgment declaring that the use of racial classifications and eligibility criteria in N.Y. Educ. Law § 6454(1)(b) and 8 NYCRR 145-6.5(a) violates the Fourteenth Amendment to the United States Constitution;
2. Preliminary and permanent injunctions prohibiting Defendant from enforcing the use of racial classifications and criteria in N.Y. Educ. Law § 6454(1)(b) and 8 NYCRR § 145-6.5(a);
3. An award to Plaintiffs of such costs and attorneys' fees as allowed by law; and

4. Any other relief that the Court deems just and proper.

DATED: April 5, 2024.

Respectfully submitted,

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Counsel for Plaintiffs

Certificate of Service

I hereby certify that on April 5, 2024, I electronically filed the foregoing with the Clerk of the District Court using the CM/ECF system, which is understood to have sent notification of such filing electronically to the following:

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**UNITED STATES DISTRICT COURT
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No. 1:24-cv-00075 (DNH-CFH)

DECLARATION OF YIATIN CHU

I, Yiatin Chu, declare as follows:

1. The facts set forth in this declaration are based on my knowledge and, if called as a witness, I can competently testify to truthfulness under oath. As to those matters that reflect a matter of opinion, they reflect my personal opinion and judgment upon the matter.
2. I am an Asian-American resident of New York State.
3. I am a member of the Chinese American Citizens Alliance of Greater New York.
4. As a Chinese American Citizens Alliance of Greater New York member, I represent the interests of my child, N.C., as her parent and guardian.
5. N.C. is Asian American and a resident of New York State.
6. N.C. is enrolled in 7th grade, is in good academic standing, has an overall GPA above 80, and a GPA above 80 in math, science, and English.

7. N.C is able and ready to apply for admission to the Science and Technology Entry Program (STEP) session at New York University for summer 2024.

8. Because N.C. is Asian American, she must satisfy a family income threshold to be eligible to apply for this program.

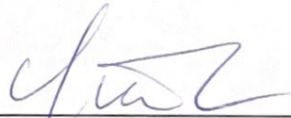
9. It is my understanding that if N.C. was not Asian American, but instead was black, Hispanic, Native American, or Alaskan Native, then N.C. would be eligible to apply regardless of our family's income.

10. I believe that the STEP student eligibility requirements discriminate against Asian American applicants like N.C. because of her race.

* * *

I declare under penalty of perjury that the foregoing is true and correct. Executed on this

29th day of March, 2024 at New York, New York.



Yiatin Chu

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK**

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No. 1:24-cv-00075 (DNH-CFH)

DECLARATION OF CHIEN KWOK

I, Chien Kwok, declare as follows:

1. The facts set forth in this declaration are based on my knowledge and, if called as a witness, I can competently testify to truthfulness under oath. As to those matters that reflect a matter of opinion, they reflect my personal opinion and judgment upon the matter.

2. I am an Asian-American resident of New York State.

3. I am a member of the Chinese American Citizens Alliance of Greater New York.

4. As a Chinese American Citizens Alliance of Greater New York member, I represent the interests of my child, E.K., as his parent and guardian.

5. E.K. is Asian American and a resident of New York State.

6. E.K. is enrolled in 10th grade, is in good academic standing, has an overall GPA above 80, and a GPA above 80 in math, science, and English.

7. E.K. is able and ready to apply for admission to the Science and Technology Entry Program (STEP) session at New York University for summer and fall 2024.

8. Because E.K. is Asian American, he must satisfy a family income threshold to be eligible to apply for this program.

9. It is my understanding that if E.K. was not Asian American, but instead was black, Hispanic, Native American, or Alaskan Native, then E.K. would be eligible to apply regardless of our family's income.

10. I believe that the STEP student eligibility requirements discriminate against Asian American applicants like E.K. because of his race.

* * *

I declare under penalty of perjury that the foregoing is true and correct. Executed on this first day of April, 2024 at New York, New York.



Chien Kwok

Redacted Declaration, Exhibit C
Application to Seal Forthcoming

**UNITED STATES DISTRICT COURT
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DECLARATION OF YI FANG CHEN

I, Yi Fang Chen, declare as follows:

1. The facts set forth in this declaration are based on my knowledge and, if called as a witness, I can competently testify to truthfulness under oath. As to those matters that reflect a matter of opinion, they reflect my personal opinion and judgment upon the matter.
2. I am an Asian-American resident of New York State.
3. I am a member of Higher with Our Parent Engagement.
4. As a Higher with Our Parent Engagement member, I represent the interest of my child, M.P., as his parent and guardian.
5. M.P. is Asian American and a resident of New York State.
6. M.P. is enrolled in 6th grade, is in good academic standing, has an overall GPA above 80, and a GPA above 80 in math, science, and English.

7. M.P. is able and ready to apply for admission to the Science and Technology Entry Program (STEP) session at New York University for fall 2024.

8. Because M.P. is Asian American, he must satisfy a family income threshold to be eligible to apply for this program.

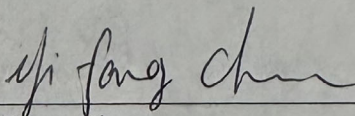
9. It is my understanding that if M.P. was not Asian American, but instead was black, Hispanic, Native American, or Alaskan Native, then M.P. would be eligible to apply regardless of our family's income.

10. I believe that the STEP student eligibility requirements discriminate against Asian-American applicants like M.P. because of his race.

* * *

I declare under penalty of perjury that the foregoing is true and correct. Executed on this

4th day of April, 2024 at New York, New York.



Yi Fang Chen